

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: MS Petitions, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: August 16, 2006

Signature:

*Paul Lilly*  
(Paul Lilly)

09/730 904

*TW*  
*OK*

Docket No.: 87141181.242002  
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patentee: Chia-Tin Chung et al. §  
§  
Patent No.: 6977136 §  
§  
Issued: December 20, 2005 §  
§  
For: METHOD FOR MANUFACTURING AN §  
ORGANIC ELECTROLUMINESCENT §  
DISPLAY §  
§

Mail Stop Petitions  
Commissioner for Patents  
U.S. Patent and Trademark Office  
P. O. Box 1450  
Alexandria, VA 22313-1450

Repln. Ref: 08/21/2006 HGUTEMA1 0009270300  
DAH: 503420 Name/Number: 09730904  
FC: 9204 \$80.00 CR

Dear Sir:

PETITION TO CORRECT PATENT UNDER 37 CFR 3.81b AND 1.182

Patentee requests that a Certificate of Correction be issued, and the Patent be reprinted to include the joint Assignees, Chi Mei Optoelectronics Corporation and Kyocera Corporation, following the recordation of the Joint Ownership Assignment. Patentee submitted the Joint Ownership Assignment for recordation with the Assignment Division of the Office on January 16, 2004, prior to the issuance of this patent. The Assignment was apparently not recorded. Patentee has requested recordation of the previously submitted Assignment with its original filing date. A copy of this Request is enclosed. This Request includes proof of submission of the Assignment for recordation on January 16, 2004 in the form of an Auto-Reply Facsimile Transmission document received from the Office. Patentee requests a Certificate of Correction to correct the omission of the Assignee, Kyocera Corporation. A Certificate of Correction form and the required fees for the Certificate and this Petition under 37 CFR 3.81b are enclosed.

08/21/2006 HGUTEMA1 00000015 6977136

01 FC:1811

100.00 DP

HOUDMS/195276.1

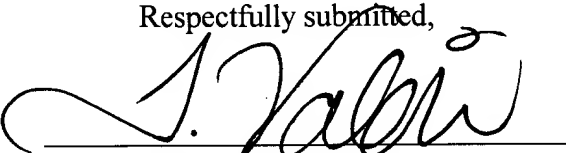
08/21/2006 HGUTEMA1 00000014 09730904 400.00 DP  
01 FC:1462

Following approval of the Petition for the Certificate of Correction, Patentee petitions for reprinting of the Patent to include both Assignees, Chi Mei Optoelectronics Corporation and Kyocera Corporation, under 37 CFR 1.182. The required fees for this petition are enclosed.

If any questions or issues remain in the resolution of which the Office believes will be advanced by a conference with the Patentee's attorney, the Office is invited to contact the attorney at the number noted below. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Deposit Account No. 50-3420, reference 87141181-242002 (Valoir).

Respectfully submitted,

Date:

Aug 16 2006 

Tamsen Valoir, Ph.D.

Registration No.: 41,417  
Baker & McKenzie LLP  
Pennzoil Place, South Tower  
711 Louisiana, Suite 3400  
Houston, Texas 77002-2746  
Tel: 713-427-5007  
Fax: 713-427-5099  
Attorney for Patentee

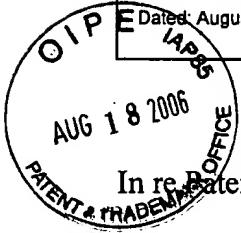
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: MS Assignment Services Division, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: August 16, 2006

Signature: \_\_\_\_\_

( Paul Lilly )

Docket No.: 87141181.242002  
(PATENT)



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Patents of:

Chia-Tin Chung et al.

Patent No.: 6977136

Application No.: 09/730904

Issued: December 20, 2005

Chia-Tin Chung et al.

Patent No.: 6669856

Issued: December 30, 2003

### REQUEST FOR RECORDATION OF PREVIOUSLY FILED ASSIGNMENT

MS Assignment Services Division  
U.S. Patent and Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Patentees hereby request that a Notice of Recordation of Assignment Document be issued for the above-identified patents. A Recordation Form Cover Sheet and an Assignment were transmitted via facsimile to the Assignment Division of the Office on January 16, 2004; copies of these documents are attached. Patentees have proof that the Assignment documents were sent to and received by the Office on January 16, 2004. Attached are copies of the facsimile confirmation page from the office of the Attorneys of the Patentee and the Auto-Reply Facsimile Transmission page from the Office. Patentees request the attached Assignment be recorded and accorded the original filing date of the Assignment of January 16, 2004. Patentees note that the Application provided on the original assignment, Application No. 09/730904, is now Patent No. 6977136. Patentees request that the Office verify that no fees were previously paid for the recordation of the Assignment for both patents. Patentees believe no fees were previously charged for the recordation of the Assignment, and therefore submit the required fees. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Deposit Account No. 50-3420, reference 87141181-242002 (Valoir).

Dated:

*Aug 16 2006*

Respectfully submitted,

By

*T. Valoir*

Tamsen Valoir, Ph.D.

Registration No.: 41,417

BAKER & MCKENZIE LLP

Pennzoil Place, South Tower

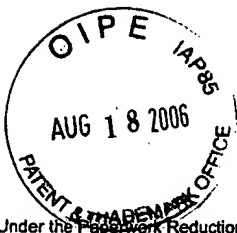
711 Louisiana, Suite 3400

Houston, Texas 77002-2746

713.427.5007 (phone)

713.427.5099 (fax)

Attorney for Patentees



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

PTO/SB/97 (05-03)  
Approved for use through 04/30/2003, OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

## Certificate of Transmission under 37 CFR 1.8

(703) 872-9306 ATTN. : Commissioner of Patents - Assignment Division/FEE

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office

on January 16, 2004  
Date

Brian C. McCormack  
Signature

Brian C. McCormack

Typed or printed name of person signing Certificate

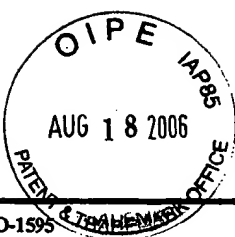
Note: Each paper must have its own certificate of transmission, or this certificate must identify each submitted paper.

Re: U.S. Patent No. 6,669,856 and U.S. Serial No. 09/730,904

- 1.) Recordation Form Cover Sheet
- 2.) Assignment Document (2 Pages)
- 3.) Exhibit A (1 page)
- 4.) Fax Transmission Cover Sheet

This collection of information is required by 37 CFR 1.8. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.8 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



Form PTO-1595  
(Rev. 10/02)

OMB No. 0651-0027 (exp. 6/30/2005)

Tab settings ⇌ ⇌ ⇌ ▼ ▼ ▼ ▼ ▼ ▼

**RECORDATION FORM COVER SHEET  
PATENTS ONLY**

U.S. DEPARTMENT OF COMMERCE  
U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

**1. Name of conveying party(ies):**

CHI-MEI OPTOELECTRONICS CORPORATION

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

**3. Nature of conveyance:**

- ☐ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☒ Other Joint undivided ownership interest assignment

Execution Date: 12/26/2003

**2. Name and address of receiving party(ies)**

Name: KYOCERA CORPORATION

Internal Address: 6 Takeda Tobadono-cho,

Fushimi-ku, Kyoto 612-8501, Japan

Street Address:

City: State: Zip:

Additional name(s) & address(es) attached? ☐ Yes ☒ No

**4. Application number(s) or patent number(s):**

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s) 09/730,904

B. Patent No.(s) 6,669,856

Additional numbers attached? ☐ Yes ☒ No

**5. Name and address of party to whom correspondence concerning document should be mailed:**

Name: Brian C. McCormack

Internal Address: Baker & McKenzie

2300 Trammell Crow Center

2001 Ross Avenue

Street Address:

City: Dallas State: TX Zip: 75201

**6. Total number of applications and patents involved: 2**

7. Total fee (37 CFR 3.41).....\$ 80.00

☐ Enclosed

☒ Authorized to Charge Deposit Account

**8. Deposit account number:**

13-0480

**DO NOT USE THIS SPACE**

**9. Signature.**

Brian C. McCormack  
Name of Person Signing

*Brian C. McCormack*  
Signature

January 16, 2004  
Date

Total number of pages including cover sheet, attachments, and documents: 4

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

08/21/2006 HGT/ET/AL 00000016 09730904

80.00 DP

01 FC-8021

ASSIGNMENT

WHEREAS, CHI-MEI OPTOELECTRONICS CORPORATION, a Taiwan corporation, having a place of business at No. 1, Chi-Yeh Road, Hsin-Shih Tainan Science-Based Industrial Park, Tainan, Taiwan, Republic of China (the "Assignor"), is the owner of the patent and patent application identified on Exhibit A attached hereto and the inventions disclosed; and

WHEREAS, KYOCERA CORPORATION, a Japanese corporation, having a place of business at 6 Takeda Tobadono-cho, Fushimi-ku, Kyoto 612-8501, Japan (the "Assignee"), is desirous of acquiring a joint, undivided ownership interest in said patent and patent application and the related inventions;

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, Assignor has sold, assigned and transferred, and by these presents does sell, assign and transfer unto said Assignee, and Assignee's successors and assigns, a joint, undivided ownership of right, title and interest in and to the patent and patent application listed in Exhibit A, and the inventions disclosed and claimed therein, and any Letters Patent which may hereafter be granted on the same in the United States including any reissues, divisions, renewals, continuations in whole or in part, substitutions, conversions, reexaminations, prolongations, provisionals or extensions thereof, the said interest to be held and enjoyed by said Assignee as fully as it has and will continue to be held and enjoyed by said Assignor to the full end and term of any Letters Patent. Assignee acknowledges that Assignor also retains a joint undivided ownership under the same terms as granted to Assignee above.

89015US/89017US

IN WITNESS WHEREOF, Assignor, by its duly authorized officer, has executed  
this assignment as of this 26th day of December 2003.

**CHI MEI OPTOELECTRONICS CORPORATION**

By: Jau-Yang Ho

Printed Name: Jau-Yang Ho

Title: President

IN WITNESS WHEREOF, Assignee, by its duly authorized officer, has executed  
this assignment as of this 26th day of December 2003.

**KYOCERA CORPORATION**

By: Yasuo Nishiguchi

Printed Name: Yasuo Nishiguchi

Title: President



901505/8901705

**EXHIBIT A**

Serial No.	File Date	Patent No.	Issue Date	Title	Inventor(s)
09/731,314	12-05-2000	6,669,856	12-30-2003	Organic electroluminescent display and method for making same	Chung et al.
09/730,904	12-05-2000			Method for manufacturing an organic electroluminescent display	Chung et al.

ASSIGNMENT OF PATENTS AND PATENT APPLICATIONS

420404v1

C:\Documents and Settings\librom\My Documents\EXHIBIT-477493-v1-Chi\_Mei\_Assignment.DOC

**EXHIBIT B**

\*\*\*\*\*  
\*\*\* TX REPORT \*\*\*  
\*\*\*\*\*



TRANSMISSION OK

TX/RX NO	2692
CONNECTION TEL	1811#242001#17038729306#
CONNECTION ID	
ST. TIME	01/16 16:08
USAGE T	01'18
PGS. SENT	5
RESULT	OK

PTO/SB/97 (05-03)

Approved for use through 04/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

## Certificate of Transmission under 37 CFR 1.8

(703) 872-9306 ATTN. : Commissioner of Patents - Assignment Division/FEE

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office

on January 16, 2004  
Date

Brian C. McCormack  
Signature

Brian C. McCormack

\_\_\_\_\_  
Typed or printed name of person signing Certificate

Note: Each paper must have its own certificate of transmission, or this certificate must identify each submitted paper.

Re: U.S. Patent No. 6,669,856 and U.S. Serial No. 09/730,904

1.) Recordation Form Cover Sheet

## Auto-Reply Facsimile Transmission



TO: Fax Sender at 214 978 3099

Fax Information

Date Received:

Total Pages:

1/16/2004 5:09:27 PM [Eastern Standard Time]

5 (including cover page)

**ADVISORY:** This is an automatically generated return receipt confirmation of the facsimile transmission received by the Office. Please check to make sure that the number of pages listed as received in Total Pages above matches what was intended to be sent. Applicants are advised to retain this receipt in the unlikely event that proof of this facsimile transmission is necessary. Applicants are also advised to use the certificate of facsimile transmission procedures set forth in 37 CFR 1.8(a) and (b), 37 CFR 1.6(f). Trademark Applicants, also see the Trademark Manual of Examining Procedure (TMEP) section 306 et seq.

Received  
Cover  
Page

=====>

01/16/2004 16:09 FAX 214 978 3099

001

PTO/IBT/F (5-03)

Approved for use through 01/30/2005, Class 0661-0031

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no person is required to respond to a collection of information unless it carries a valid OMB control number.

### Certificate of Transmission under 37 CFR 1.8

(703) 872-8306 ATTN.: Commissioner of Patents - Assignment Division/FEE

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office

on January 16, 2004

Date

*Brian C. McCormack*

Signature

Brian C. McCormack

Typed or printed name of person signing Certificate

Note: Each paper must have its own certificate of transmission, or this certificate must identify each submitted paper.

Re: U.S. Patent No. 6,669,856 and U.S. Serial No. 09/730,904

- 1.) Recordation Form Cover Sheet
- 2.) Assignment Document (2 Pages)
- 3.) Exhibit A (1 page)
- 4.) Fax Transmission Cover Sheet

This collection of information is required by 37 CFR 1.8. The information is required to obtain or retain a benefit by the public which is to the (and by the USPTO to process) an application. Confidentiality is guaranteed by 36 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.5 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-0100 and select option 2.

## UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

Page 1 of 1

PATENT NO. : 6977136  
APPLICATION NO.: 09/730904  
ISSUE DATE : December 20, 2005  
INVENTOR(S) : Chia-Tin Chung et al.

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

On the first page, the following should be inserted in the left column on the line immediately after the Assignee Chi Mei Optoelectronics Corporation:

Kyocera Corporation, (JP)

**MAILING ADDRESS OF SENDER (Please do not use customer number below):**

Tamsen Valoir, Ph.D.  
Baker & McKenzie, LLP, Pennzoil Place, South Tower  
711 Louisiana, Suite 3400, Houston, Texas 77002

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

*If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.*